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		PARTMENT OF COMMER TAND TRADEMARK OFF	APPLICANT Stager et al.				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				FILING DATE February 5, 2004		GROUI 2165	
<u> </u>			U.S. PATENT	DOCUMENTS		T	
AMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE II APPROPRIATE
FS	•	6,098,148	08/2000	Carlson			
11	•	6,128,698	10/2000	Georgis			
\prod	•	6,131,142	10/2000	Kamo et al.			
П	*	6,163,856	12/2000	Dion et al.			
T	•	6,173,359	01/2001	Carlson et al.			
		6,195,730	02/2001	West			
П	•	6,225,709	05/2001	Nakajima, Hiroshi			
\Box	•	6,247,096	06/2001	Fisher et al.			
1	•	6,260,110	07/2001	LeCrone et al.			
		6,266,784	07/2001	Hsiao et al.			
	•	6,269,423	07/2001	Kishi			
	•	6,269,431	07/2001	Dunham			
	•	6,282,609	08/2001	Carlson			
	•	6,289,425	09/2001	Blendermann et al.			
П	•	6,304,880	10/2001	Kishi			
\top	•	6,317,814	11/2001	Blendermann et al.			
\top	•	6,324,497	11/2001	Yates et al.			
1	•	6,327,418	12/2001	Barton			
	•	6,336,163	01/2002	Brewer et al.			
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	*	6,343,342	01/2002	Carlson			
	•	6,353,837	03/2002	Blumenau			
FS	•	6,360,232	03/2002	Brewer et al.			
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

					Sheet 3 of 8
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY, DOCKET NO. NET-P01-2287.01	SERIAL NO. 10/772,643
				APPLIC Stager	
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	•	6,499,026	12/2002	Rivette et al.	
	•	6,557,089	04/2003	Reed et al.	
		6,725,331	04/2004	Kedem, Ishay	
	•	6,779,057	08/2004	Masters, et al.	
	T .	6,779,058	08/2004	Kishi et al.	
T	•	6,779,081	08/2004	Arakawa et al.	
	•	6,816,941	11/2004	Carlson et al.	
	•	6,816,942	11/2004	Okada et al.	
T	1	6,931,557	08/2005	Togawa, Yoshifusa	
		6,950,263	09/2005	Suzuki et al.	
1		7,032,128	04/2006	Zalewski et al.	
1		2002/0016827	02/2002	McCabe et al.	
1	•	2002/0026595	02/2002	Saitou et al.	
T	•	2002/0144057	10/2002	Li et al.	
1	1	2002/0166079	11/2002	Ulrich et al.	
+	•	2003/0004980	01/2003	Kishi et al.	
十	1 •	2003/0037211	02/2003	Winokur	
十	 	2003/0120676	06/2003	Holavanahalli et al.	
\top		2003/0188208	10/2003	Fung, Henry T.	
十	 	2004/0015731	01/2004	Chu et al.	
+	1	2004/0181707	09/2004	Fujibayashi, Akira	
+		2005/0010529	01/2005	Zalewski et al.	
FS	 	2005/0063374	03/2005	Rowan et al.	

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FORM PTO-1449			ATTY, DOCKET NO. NET-P01-2287.01		SERIAL N 10/772,64			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Stager et al.				
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			U.S. PATENT	DOCUMENTS	· ·			
FS		2005/0065962	03/2005	Rowan et al.				
	•	2005/0066118	03/2005	Perry et al.				
	•	2005/0066222	03/2005	Rowan et al.				
	•	2005/0066225	03/2005	Rowan et al.				
Π	•	2005/0076261	04/2005	Rowan et al.				
11	•	2005/0076262	04/2005	Rowan et al.				
	•	2005/0076264	03/2005	Rowan et al.				
11	•	2006/0047895	03/2006	Rowan et al.				
11	/ •	2006/0047902	03/2006	Passerini, Ron				
11	•	2006/0047903	03/2006	Passerini, Ron				
╁	•	2006/0047925	03/2006	Passerini, Ron				
1-1	•	2006/0047989	03/2006	Delgado et al.				
+	*	2006/0047998	03/2006	Darcy, Jeff				
FS	•	2006/0047999	03/2006	Passerini et al.				
-5		2000/0047999						
I			FOREIGN PATT	ENT DOCUMENTS				
							TRAN	SLATION
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
FS	•	EP 1 671 231	06/2006	Rowan et al.				
	*	WO2005/031576	04/2005	Rowan et al.				
\sqcap	•	WO2006/023990	03/2006	Perry, Robert				
\top	•	WO2006/023991	03/2006	Rowan et al.				
\top	•	WO2006/023992	03/2006	Passerini, Ron				
\top	•	WO2006/023993	03/2006	Rowan et al.				
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FS	•	WO2006/023995	03/2006	Perry, Robert				

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<u> </u>			Sheet 5 of 8			
	FORM PTO-1449	ATTY, DOCKET NO. NET-P01-2287.01	SERIAL NO. 10/772,643			
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE February 5, 2004	GROUP 2165			
	(Use several sheets if necessary)					
	OTHER DOCUMENTS (Including A	uthor, Title, Date, Pertinent Page	s, Etc.)			
FS	"Alacritus Software's Securitus I: Pointing	"Alacritus Software's Securitus I: Pointing the Way to Virtual Tape Libraries" Aberdeen Group, Inc., March 2002.				
	"Continuous Data Protection: Business C Paper" A	Continuity for the Era of Networks berdeen Group, Inc., July 2003.	d Storage: An Executive White			
	'Alacritus Software's Chronospan: Mal	te Time for Continuous Data Prof October 2003.	ection" Aberdeen Group, Inc.,			
Hill, David "Alacritus Software's Securitus: Defining the Way to Virtual Tape Libraries" Aberdee July 2003. "Alacritus Software's Securitus: Defining the Way to Virtual Tape Libraries" Aberdeen Group, In						
				"Product Brief: Rhapsody/Alacritus-Secritus/XPath Virtual Tape in the Fabric" The Enterprise Store August 2002.		
	*Alacritus Software Announces Securitus Heralds Advent of 'Disruptive Technology'	*Alacritus Software Announces Securitus I, The Industry's First Virtual Tape Library Solution: Securitus I Heralds Advent of 'Disruptive Technology' that Serves as Replacement to Tape Libraries' Alacritus Software, Inc., June 25, 2001.				
	*Alacritus, Hitachi CP and Nissho Team to Library Appliance to Replace Stora	Create Virtual Tape Library Appl age Tape Libraries" Alacritus Soft	iance: Industry's First Virtual Tape ware, Inc., June 25, 2001.			
"Hitachl CP, Nissho, and Alacritus Software Bring Virtual Tape Library Appliance Companies Join to Deliver VTLA Smart Guard – A Disk Subsystem Product th Storage Tape Library" Alacritus Software, Inc., October 3, 2			duct that Functions as a Virtual			
Trimmer, Don, "Tape Free Backup/Recovery: Requirements and Advantages: Virtualization Tech Encompass Many Applications, One of the Most Significant Possibly Being Backup/Recovery" Info 2002.						
FS	"Alacritus Software Announces Virtual Ta Alacritus	pe Library Support for Legato Ne Software, Inc., January 8, 2002.	tWorker Data Protection Solution*			
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		FORM PTO-1449	ATTY. DOCKET NO. NET-P01-2287.01	SERIAL NO. 10/772,643			
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		FORMATION DISCLOSURE FATEMENT BY APPLICANT	FILING DATE February 5, 2004	GROUP 2165			
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		OTHER DOCUMENTS (Including A	uthor, Title, Date, Pertinent Page	s, Etc.)			
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	"Alacritus Announces Disk-Based Successor to Tape" Knapp Comm., August 21, 2002.						
	Biggar, Heidi, "Alacritus Enables Disk-Based Backup" InfoStor, September 2001.						
	"Securitus I White Paper: Disk Based Data Protection from Alacritus Software" Alacritus Software, Inc., July 2001.						
		"Alacritus Software FAQs" Alacritus Software, Inc., July 2001.					
		"Disk-Based Data Protection" Alacritus Software, Inc., July 2001.					
		"Virtual Tape Library Techn	ology Brochure" Alacritus Softwa	re, Inc., July 2001.			
		"Disk-Based Data Prote	ction" Alacritus Software, Inc., Se	ptember 2001.			
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		Payack, Paul JJ, "Alacritus Lines Up OEM Partners for Virtual Tape Library Push" The (451) Storage & Systems, October 4, 2002.					
		Payack, Paul JJ, "Alacritus Software A	Announces Continuous Data Prof hnology October 28, 2003.	tection with New Chronospan			
FS		Payack, Paul JJ, "Alacritus Software Announces New Customers for Securitus VTLA" Alacritus Software, January 13, 2004.					
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			FILING DATE February 5, 2004	GROUP 2165			
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		OTHER DOCUMENTS (Including	Author, Title, Date, Perlinent Pages,	Etc.)			
F:	s .	Baltazar, Henry "Weavin	ig Apps Into SAN Fabric" eWEEK, Ma	arch 24, 2003.			
		Baltazar, Henry "More Inte	lligence is on the Way" eWEEK, Sept	ember 15, 2003.			
	Barrett, Alex "The Case for Network Smarts" Storage Magazine, June 2003.						
		"Securitus White Paper: Disk Based Data Protection from Alacritus Software" Alacritus Website, Octobe 2003.					
		*Manageability: Secu	"Manageability: Securitus v. Tape" Alacritus Website, October 2003.				
		Management Issues: Enhanced Back	m Created to Tackle Data Protection up Solutions Initiative Rolls Efforts int Industry Association, October 13, 200	o New SNIA Forum" Storage			
		"No Changes Required:	Securitus v. Tape" Alacritus Website,	October 2003.			
		"Customer So	"Customer Success" Alacritus Website, October 2003.				
		"Chronospan" Alacritus Website, October 2003.					
		"Alacritus Software Announces Securi Heralds Advent of 'Disruptive Technolog	tus I, the Industry's First Virtual Tape y' that Serves as Replacement to Tap Inc., April 9, 2002.	Library Solution: Securitus I ee Libraries* Alacritus Softwar			
FS Biggar, Heidi, "Disk and Tape Forge New Partnership in Backup Arena" Inf		InfoStor, November 2001.					

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	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT		ATTY. DOCKET NO. NET-P01-2287.01	SERIAL NO. 10/772,643			
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		OTHER DOCUMENTS (Including A	uthor, Title, Date, Pertinent Pages,	Etc.)			
FS		Preston, W. Curtis, "Surprise! Cheap	Disks Cure Slow Backup' Storage	Magazine, June 1, 2002.			
		"Alacritus, Hitachi CP and Nissho Team to	Create Virtual Tape Library" inter	metnews.com, June 25, 2001.			
	"Alacritus Software and Rhapsody Networks to Develop Breakthrough Backup Solutions for Storage Networks: Companies to Provide First Network-Intelligent Virtual Tape Solution Resulting in Dramatic ROI, Increases in Data Backup Performance and Scalability" Alacritus Software, July 8, 2002.						
		Komlega, Kevin, Vendor Pushes Disk	k Backup Over Tape* SearchStora	ge.com January 10, 2003.			
		"Testimonials	s" Alacritus Website, October 2000	3.			
i		"Seamless Integr	ration* Alacritus Website, October	2003.			
		"Topologies"	Alacritus Website, October 7, 200	3.			
		"Securitus" Alacritus Website, October 2003.					
		"Scalability: Securitus v. Tape" Alacritus Website, October 2003.					
FS		"Strengths: Securitus v. Tape " Alacritus Website, October 2003.					
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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APPLICANT Stager et al.					

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			Hill, David "Alacritus Software's Securitus: Defining the Way to Virtual Tape Libraries" Aberdeen Group, Inc., July 2003.				
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			Alacritus, Hitachi CP and Nissho Team to Create Virtual Tape Library Appliance: Industry's First Virtual Tape Library Appliance to Replace Storage Tape Libraries Alacritus Software, Inc., June 25, 2001.				
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		"Alacritus Announces Disk-Bas	ed Successor to Tape® Knapp Con	nm., August 21, 2002.		
		Biggar, Heidi, "Alacritus Ena	bles Disk-Based Backup" InfoStor	, September 2001.		
		"Securitus I White Paper: Disk Based Dat	a Protection from Alacritus Softwa 2001.	re" Alacritus Software, Inc., July		
		"Alacritus Software	e FAQs" Alacritus Software, Inc., J	uly 2001.		
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FS	Biggar, Heidi, "Disk and Tape For	ge New Partnership in Backup Arena	a* InfoStor, November 2001.					
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. NET-P01-2287.01	SERIAL NO. 10/772,643				
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			Testimonials Alacritus Website, October 2003.					
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F	s		"Strengths: Securitus	"Strengths: Securitus v. Tape " Alacritus Website, October 2003.				

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	•	2004/0181707	09/2004	Fujibayashi, Akira						
FS	٠	2005/0010529	01/2005	Zalewski et al.						
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